

Characterizations of Idempotent Elements in Pre-Generalized Hypersubstitutions of type $\tau = (m, n)$

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Motivation

In 2000, W. Puninagool and S. Leeratanavalee [10]

- ① studied *pre-generalized hypersubstitutions* of type τ
- ② determined idempotent elements of type $(2, 2)$.

We characterize the idempotent of type (m, n) .

[10] Puninagool W. and Leeratanavalee S., *Idempotent pre-generalized hypersubstitutions of type $\tau = (2, 2)$* , *Analele Stiintifice ale Universitatii Ovidius Constanta* **15(2)** (2007), 55–70.

Generalized Superposition of Terms

The concept of *generalized superposition of terms* [8]

$$S^k : (W_\tau(X))^{k+1} \rightarrow W_\tau(X)$$

- (i) if $t = x_j \in X_k$, then $S^k(x_j, t_1, \dots, t_k) := t_j$;
- (ii) if $t = x \in X \setminus X_k$, then $S^k(x, t_1, \dots, t_k) := x$;
- (iii) if $t = f_i(s_1, \dots, s_{n_i})$ and assume that $S^k(s_j, t_1, \dots, t_k)$ for $1 \leq j \leq n_i$ are already defined, then

$$\begin{aligned} S^k(f_i(s_1, \dots, s_{n_i}), t_1, \dots, t_k) \\ := f_i(S^k(s_1, t_1, \dots, t_k), \dots, S^k(s_{n_i}, t_1, \dots, t_k)). \end{aligned}$$

[8] Leeratanavalee S. and Denecke K., *Generalized hypersubstitutions and strongly solid varieties*, General Algebra and Applications, Proc. of the “59th workshop on general algebra”, “15th Conference for young algebraists Potsdam 2000”, pp. 135–145, Shaker Verlag, (2000).

Generalized Hypersubstitutions

A *generalized hypersubstitution* of type τ is a mapping

$$\sigma : \{f_i : i \in I\} \rightarrow W_\tau(X)$$

-may not preserved arity

$\text{Hyp}_G(\tau)$ —the set of all generalized hypersubstitutions of type τ

Generalized Hypersubstitutions

$$\hat{\sigma} : W_{\tau}(X) \rightarrow W_{\tau}(X)$$

- (i) $\hat{\sigma}[x] := x \in X$;
- (ii) $\hat{\sigma}[f_i(t_1, \dots, t_{n_i})] := S^{n_i}(\sigma(f_i), \hat{\sigma}[t_1], \dots, \hat{\sigma}[t_{n_i}])$ for any n_i -ary operation symbol f_i and assume that $\hat{\sigma}[t_j]$ are already defined for all $1 \leq j \leq n_i$.

a binary operation \circ_G on $\text{Hyp}_G(\tau)$ is defined by

$$\sigma_1 \circ_G \sigma_2 := \hat{\sigma}_1 \circ \sigma_2.$$

Hyp $_G(\tau) := (\text{Hyp}_G(\tau); \circ_G, \sigma_{\text{id}})$ -monoid

[8] Leeratanavalee S. and Denecke K., *Generalized hypersubstitutions and strongly solid varieties*, General Algebra and Applications, Proc. of the “59th workshop on general algebra”, “15th Conference for young algebraists Potsdam 2000”, pp. 135–145, Shaker Verlag, (2000).

For $t, t_1, \dots, t_n \in W_\tau(X)$ and $\sigma, \sigma_1, \sigma_2 \in \mathbf{Hyp}_G(\tau)$ we have

- (i) $S^n(\hat{\sigma}[t], \hat{\sigma}[t_1], \dots, \hat{\sigma}[t_n]) = \hat{\sigma}[S^n(t, t_1, \dots, t_n)]$,
- (ii) $(\hat{\sigma}_1 \circ \sigma_2)^\wedge = \hat{\sigma}_1 \circ \hat{\sigma}_2$.

$$\mathbf{Hyp}(\tau) \leq \mathbf{Hyp}_G(\tau)$$

Pre-Generalized Hypersubstitutions of type (m, n)

- f, g -operation symbols of type (m, n)
- denote the generalized hypersubstitution σ with $\sigma(f) = t_1$ and $\sigma(g) = t_2$ by σ_{t_1, t_2}

Definition

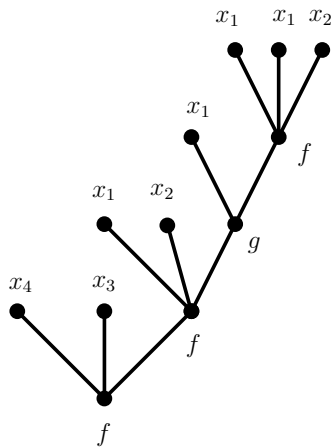
A generalized hypersubstitution σ of type (m, n) is called a *pre-generalized hypersubstitution* if the terms $\sigma(f)$ and $\sigma(g)$ are not variables.

Preliminary

Let F be a variable over the two-elements alphabet $\{f, g\}$. Let $t = F(t_1, \dots, t_j)$ where F has arity $j \in \{m, n\}$ and $i \leq \min\{m, n\}$, we define $M^i(t)$ by:

- (i) if $t_i \in X$, then $M^i(t) = t_i$;
- (ii) if $t_i = F'(s_1, \dots, s_k)$ where F' has arity $k \in \{m, n\}$ and assume that $M^i(s_i)$ are already defined, then $M^i(t) = M^i(s_i)$.

Example



$$M^1(t) = x_4$$

$$M^2(t) = x_3$$

$M^3(t)$ does not
define.

Main Results

Proposition

Let σ_{t_1, t_2} be a generalized hypersubstitution of type (m, n) . Then the following statements are equivalent:

- (i) σ_{t_1, t_2} is idempotent;
- (ii) $\hat{\sigma}_{t_1, t_2}[t_1] = t_1$ and $\hat{\sigma}_{t_1, t_2}[t_2] = t_2$.

Notations

- $\text{var}(t)$ —the set of all variables occurring in the term t
- $\text{op}(t)$ —the number of operation symbols occurring in the term t
- $\text{ops}(t)$ —the set of all operation symbols occurring in the term t
- $\text{firstop}(t)$ —the first operation symbol (from the left) occurring in the term t

Main Results

- ① $\text{op}(t_1) = 1$ and $\text{op}(t_2) = 1$;
- ② $\text{op}(t_1) = 1$, $\text{op}(t_2) > 1$ and $\text{op}(t_1) > 1$, $\text{op}(t_2) = 1$;
- ③ $\text{op}(t_1) > 1$ and $\text{op}(t_2) > 1$.

Case 1: $\text{op}(t_1) = 1$ and $\text{op}(t_2) = 1$

- ① $\text{firstop}(t_1) = f$ and $\text{firstop}(t_2) = f$;
- ② $\text{firstop}(t_1) = g$ and $\text{firstop}(t_2) = g$;
- ③ $\text{firstop}(t_1) = f$ and $\text{firstop}(t_2) = g$

since for the case $\text{firstop}(t_1) = g$ and $\text{firstop}(t_2) = f$ is impossible. Indeed, for $s_1, \dots, s_m \in X$,

$$t_1 = \hat{\sigma}_{t_1, t_2}[t_1] = S^m(\sigma_{t_1, t_2}(g), s_1, \dots, s_m) = S^m(t_2, s_1, \dots, s_m).$$

This implies $\text{firstop}(t_1) = f$, a contradiction.

Case 1: $\text{op}(t_1) = 1$ and $\text{op}(t_2) = 1$

Theorem

Let $t_1 = f(s_1, \dots, s_m)$ and $t_2 = f(s'_1, \dots, s'_m)$ where $s_1, \dots, s_m, s'_1, \dots, s'_m \in X$. Then the following statements are equivalent:

- (1) σ_{t_1, t_2} is idempotent;
- (2) the following conditions holds:
 - (i) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s_j = x_j$;
 - (ii) if $s_i = x_j$ where $1 \leq i, j \leq m$, then $s'_i = s'_j$;
 - (iii) if $s_j = x \in X \setminus X_m$ where $1 \leq j \leq m$, then $s'_j = x$.

σ_{t_1, t_2}

$$\textcircled{1} \quad t_1 = f(x_1, x_1, x_4)$$

$$t_2 = f(x_2, x_2, x_4)$$

$$\textcircled{2} \quad t_1 = f(x_1, x_1, x_3)$$

$$t_2 = f(x_1, x_2, x_3)$$

Case 1: $\text{op}(t_1) = 1$ and $\text{op}(t_2) = 1$

Theorem

Let $t_1 = f(s_1, \dots, s_m)$ and $t_2 = g(s'_1, \dots, s'_n)$ where $s_1, \dots, s_m, s'_1, \dots, s'_n \in X$. Then the following statements are equivalent:

- (1) σ_{t_1, t_2} is idempotent;
- (2) the following conditions holds:
 - (i) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s_j = x_j$;
 - (ii) if $x_k \in \text{var}(t_2)$ where $1 \leq k \leq n$, then $s'_k = x_k$.

σ_{t_1, t_2}

$$\textcircled{1} \quad \begin{aligned} t_1 &= f(x_4, x_2, x_3) \\ t_2 &= g(x_2, x_2) \end{aligned}$$

$$\textcircled{2} \quad \begin{aligned} t_1 &= f(x_2, x_3, x_3) \\ t_2 &= g(x_2, x_1) \end{aligned}$$

Case 2: $\text{op}(t_1) = 1, \text{op}(t_2) > 1$ and
 $\text{op}(t_1) > 1, \text{op}(t_2) = 1$

Theorem

Let σ_{t_1, t_2} be a generalized hypersubstitution of type (m, n) , $\text{op}(t_1) = 1$, $\text{op}(t_2) > 1$, $t_1 = f(s'_1, \dots, s'_m)$ and $t_2 = g(s_1, \dots, s_n)$ where $s'_1, \dots, s'_m \in X$, $s_1, \dots, s_n \in W_{(m, n)}(X)$. Then the following conditions are equivalent:

- (1) σ_{t_1, t_2} is idempotent;
- (2) the following conditions hold
 - (i) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s'_j = x_j$;
 - (ii) if $x_j \in \text{var}(t_2)$ where $1 \leq j \leq n$, then $s_j = x_j$.

σ_{t_1, t_2}

$$\textcircled{1} \quad \begin{aligned} t_1 &= f(x_4, x_2, x_2) \\ t_2 &= g(x_1, f(x_1, x_3, x_1)) \end{aligned}$$

$$\textcircled{2} \quad \begin{aligned} t_1 &= f(x_4, x_2, x_2) \\ t_2 &= g(\mathbf{x}_2, f(x_1, x_3, x_1)) \end{aligned}$$

Case 2: $\text{op}(t_1) = 1, \text{op}(t_2) > 1$ and
 $\text{op}(t_1) > 1, \text{op}(t_2) = 1$

Theorem

Let σ_{t_1, t_2} be a generalized hypersubstitution of type (m, n) , $\text{op}(t_1) = 1$, $\text{op}(t_2) > 1$, $t_1 = f(s_1, \dots, s_m)$ and $t_2 = f(\bar{s}_1, \dots, \bar{s}_m)$ where $s_1, \dots, s_n \in X$, $\bar{s}_1, \dots, \bar{s}_n \in W_{(m, n)}(X)$. Then the following conditions are equivalent:

- (1) σ_{t_1, t_2} is idempotent;
- (2) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s_j = x_j$ and the following conditions hold:
 - (i) if $s_j = x \in X \setminus X_m$ where $1 \leq j \leq m$, then $\bar{s}_j = x$ and for each $\bar{s}_i \notin X$ where $1 \leq i \leq m$, $M^j(\bar{s}_i) = x$;
 - (ii) if $s_j = s_l$ where $1 \leq j, l \leq m$, then $\bar{s}_j = \bar{s}_l$;
 - (iii) For each subterm of t_2 which is not a variable $f(s'_1, \dots, s'_m)$ where $s'_1, \dots, s'_m \in W_{(m, n)}(X)$, if $s_j = s_l$ where $1 \leq j, l \leq m$, then $s'_j = s'_l$.

σ_{t_1, t_2}

- ① $t_1 = f(x_1, x_4, x_1)$
 $t_2 = f(f(x_2, x_4, x_2), x_4, f(x_2, x_4, x_2))$
- ② $t_1 = f(x_1, x_4, x_1)$
 $t_2 = f(f(x_2, x_2, x_1), x_4, x_3)$

Case 3: $\text{op}(t_1) > 1$ and $\text{op}(t_2) > 1$

- 1 $\text{firstop}(t_1) = f$ and $\text{firstop}(t_2) = f$;
- 2 $\text{firstop}(t_1) = g$ and $\text{firstop}(t_2) = g$ and
- 3 $\text{firstop}(t_1) = f$ and $\text{firstop}(t_2) = g$.

Case 3: $\text{op}(t_1) > 1$ and $\text{op}(t_2) > 1$

Theorem

Let σ_{t_1, t_2} be a generalized hypersubstitution of type (m, n) , $\text{op}(t_1) > 1$, $\text{op}(t_2) > 1$, $t_1 = f(s_1, \dots, s_m)$ and $t_2 = f(s'_1, \dots, s'_m)$ where $s_1, \dots, s_m, s'_1, \dots, s'_m \in W_{(m, n)}(X)$. Then the following conditions are equivalent:

- (1) σ_{t_1, t_2} is idempotent;
- (2) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s_j = x_j$ and $t_2 = S^m(t_1, k_1^1, \dots, k_m^1)$ where $k_j^1 \in X$ or $k_j^1 = S^m(t_1, k_1^2, \dots, k_m^2)$ where $k_j^2 \in X$ or $k_j^2 = S^m(t_1, k_1^3, \dots, k_m^3) \dots$ where $k_j^{l-1} \in X$ or $k_j^{l-1} = S^m(t_1, k_1^l, \dots, k_m^l)$ where $k_j^l \in X$ for some $l \in \mathbb{N}$.

σ_{t_1, t_2}

$$\textcircled{1} \quad t_1 = f(g(x_3, x_2), x_2, x_3)$$

$$t_2 = f(g(x_1, x_2), x_2, x_1) = S^3(t_1, \boxed{\text{any}}, x_2, x_1)$$

$$\textcircled{2} \quad t_1 = f(g(x_3, x_2), x_2, x_3)$$

$$t_2 = f(g(x_1, x_1), x_1, x_1) = S^3(f(g(x_1, x_1), x_3, x_3), x_1, x_2, x_1)$$

Case 3: $\text{op}(t_1) > 1$ and $\text{op}(t_2) > 1$

Theorem

Let σ_{t_1, t_2} be a generalized hypersubstitution of type (m, n) , $\text{op}(t_1) > 1$, $\text{op}(t_2) > 1$, $t_1 = f(s_1, \dots, s_m)$ and $t_2 = g(s'_1, \dots, s'_n)$ where $s_1, \dots, s_m, s'_1, \dots, s'_n \in W_{(m, n)}(X)$. Then the following conditions are equivalent:

- (1) σ_{t_1, t_2} is idempotent.
- (2) the following statements hold:
 - (2.1) if $x_j \in \text{var}(t_1)$ where $1 \leq j \leq m$, then $s_j = x_j$;
 - (2.2) if $x_k \in \text{var}(t_2)$ where $1 \leq k \leq n$, then $s'_k = x_k$.

σ_{t_1, t_2}

$$\textcircled{1} \quad t_1 = f(x_1, f(x_3, x_1, x_4), x_3)$$

$$t_2 = g(x_1, f(x_3, x_1, x_4))$$

$$\textcircled{2} \quad t_1 = f(\boxed{x_2}, f(x_3, x_1, x_4), x_3)$$

$$t_2 = g(x_1, f(x_3, x_1, \boxed{x_2}))$$

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