

CSPs over the random partial order

Michael Kompatscher, Trung Van Pham

michael@logic.at

Institute of Computer Languages
TU Wien

AAA92, Prague, 28/05/2016

Outline

- 1 CSPs over the random partial order \mathbb{P}
- 2 Preclassification by homomorphic equivalence
- 3 Closed clones containing $\text{Aut}(\mathbb{P})$
- 4 Results

Outline

- 1 **CSPs over the random partial order \mathbb{P}**
- 2 Preclassification by homomorphic equivalence
- 3 Closed clones containing $\text{Aut}(\mathbb{P})$
- 4 Results

Poset-SAT

Φ ... finite set of quantifier-free $\{\leq\}$ -formulas

Poset-SAT(Φ)

Instance:

- Variables $\{x_1, \dots, x_n\}$ and
- finitely many formulas $\phi_i(x_{i_1}, \dots, x_{i_k})$, where each $\phi_i \in \Phi$.

Question:

Is $\bigwedge \phi_i(x_{i_1}, \dots, x_{i_k})$ satisfiable in a partial order?

Poset-SAT

Φ ... finite set of quantifier-free $\{\leq\}$ -formulas

Poset-SAT(Φ)

Instance:

- Variables $\{x_1, \dots, x_n\}$ and
- finitely many formulas $\phi_i(x_{i_1}, \dots, x_{i_k})$, where each $\phi_i \in \Phi$.

Question:

Is $\bigwedge \phi_i(x_{i_1}, \dots, x_{i_k})$ satisfiable in a partial order?

Complexity of Poset-SAT(Φ) is always in NP.

Poset-SAT

Φ ... finite set of quantifier-free $\{\leq\}$ -formulas

Poset-SAT(Φ)

Instance:

- Variables $\{x_1, \dots, x_n\}$ and
- finitely many formulas $\phi_i(x_{i_1}, \dots, x_{i_k})$, where each $\phi_i \in \Phi$.

Question:

Is $\bigwedge \phi_i(x_{i_1}, \dots, x_{i_k})$ satisfiable in a partial order?

Complexity of Poset-SAT(Φ) is always in NP.

Question

For which Φ is Poset-SAT(Φ) in P?

Examples

Examples

Poset-SAT($<$)

Instance: Variables $\{x_1, \dots, x_n\}$ and formulas $x_{i_1} < x_{i_2}$.

Question: Is $\bigwedge (x_{i_1} < x_{i_2})$ satisfiable in a partial order?

Poset-SAT($<$) is in P.

Examples

Poset-SAT($<$)

Instance: Variables $\{x_1, \dots, x_n\}$ and formulas $x_{i_1} < x_{i_2}$.

Question: Is $\bigwedge (x_{i_1} < x_{i_2})$ satisfiable in a partial order?

Poset-SAT($<$) is in P.

Poset-SAT(\perp, Q)

$x \perp y$:= incomparability relation

$Q(x, y, z) := (x < y \vee x < z)$

Poset-SAT(\perp, Q) is NP-complete.

Poset-SAT as CSP over the random poset

The **random partial order** $\mathbb{P} := (P; \leq)$ is the unique countable partial order that:

Poset-SAT as CSP over the random poset

The **random partial order** $\mathbb{P} := (P; \leq)$ is the unique countable partial order that:

- is *universal*, i.e., contains all finite partial orders

Poset-SAT as CSP over the random poset

The **random partial order** $\mathbb{P} := (P; \leq)$ is the unique countable partial order that:

- is *universal*, i.e., contains all finite partial orders
- is *homogeneous*, i.e. for finite $A, B \subseteq P$, every isomorphism $I : A \rightarrow B$ extends to an automorphism $\alpha \in \text{Aut}(\mathbb{P})$.

Poset-SAT as CSP over the random poset

The **random partial order** $\mathbb{P} := (P; \leq)$ is the unique countable partial order that:

- is *universal*, i.e., contains all finite partial orders
- is *homogeneous*, i.e. for finite $A, B \subseteq P$, every isomorphism $I : A \rightarrow B$ extends to an automorphism $\alpha \in \text{Aut}(\mathbb{P})$.

For every $\{\leq\}$ -formula $\phi(x_1, \dots, x_n)$ let

$$R_\phi := \{(a_1, \dots, a_n) \in P^n : \phi(a_1, \dots, a_n)\}.$$

Poset-SAT as CSP over the random poset

The **random partial order** $\mathbb{P} := (P; \leq)$ is the unique countable partial order that:

- is *universal*, i.e., contains all finite partial orders
- is *homogeneous*, i.e. for finite $A, B \subseteq P$, every isomorphism $I : A \rightarrow B$ extends to an automorphism $\alpha \in \text{Aut}(\mathbb{P})$.

For every $\{\leq\}$ -formula $\phi(x_1, \dots, x_n)$ let

$$R_\phi := \{(a_1, \dots, a_n) \in P^n : \phi(a_1, \dots, a_n)\}.$$

$$\text{Poset-SAT}(\Phi) = \text{CSP}((P; R_\phi)_{\phi \in \Phi}).$$

$(P; R_\phi)_{\phi \in \Phi}$ is a **reduct** of \mathbb{P} , i.e. a structure that is first-order definable in \mathbb{P} .

The universal algebraic approach

What did we gain?

- We can use methods for CSPs

The universal algebraic approach

What did we gain?

- We can use methods for CSPs
- \mathbb{P} has nice properties (homogeneous, ω -categorical,...)

The universal algebraic approach

What did we gain?

- We can use methods for CSPs
- \mathbb{P} has nice properties (homogeneous, ω -categorical,...)
- The universal algebraic approach works:

The universal algebraic approach

What did we gain?

- We can use methods for CSPs
- \mathbb{P} has nice properties (homogeneous, ω -categorical,...)
- The universal algebraic approach works:

Let $\text{Pol}(\Gamma)$ denote the **polymorphism clone** of Γ , i.e. $f \in \text{Pol}(\Gamma)$ if for all relations R of Γ : $\bar{r}_1, \dots, \bar{r}_n \in R \rightarrow f(\bar{r}_1, \dots, \bar{r}_n) \in R$.

The universal algebraic approach

What did we gain?

- We can use methods for CSPs
- \mathbb{P} has nice properties (homogeneous, ω -categorical,...)
- The universal algebraic approach works:

Let $\text{Pol}(\Gamma)$ denote the **polymorphism clone** of Γ , i.e. $f \in \text{Pol}(\Gamma)$ if for all relations R of Γ : $\bar{r}_1, \dots, \bar{r}_n \in R \rightarrow f(\bar{r}_1, \dots, \bar{r}_n) \in R$.

Theorem (Bodirsky, Nešetřil '06)

For ω -categorical structures Γ, Δ , every relation in Γ is pp-definable in Δ if

$$\text{Pol}(\Gamma) \supseteq \text{Pol}(\Delta)$$

The universal algebraic approach

What did we gain?

- We can use methods for CSPs
- \mathbb{P} has nice properties (homogeneous, ω -categorical,...)
- The universal algebraic approach works:

Let $\text{Pol}(\Gamma)$ denote the **polymorphism clone** of Γ , i.e. $f \in \text{Pol}(\Gamma)$ if for all relations R of Γ : $\bar{r}_1, \dots, \bar{r}_n \in R \rightarrow f(\bar{r}_1, \dots, \bar{r}_n) \in R$.

Theorem (Bodirsky, Nešetřil '06)

For ω -categorical structures Γ, Δ , every relation in Γ is pp-definable in Δ if

$$\text{Pol}(\Gamma) \supseteq \text{Pol}(\Delta)$$

→ Aim: Understand the polymorphism clones of reducts of \mathbb{P} !

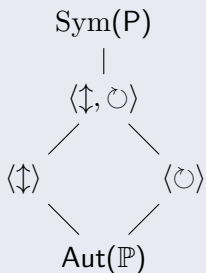
Outline

- 1 CSPs over the random partial order \mathbb{P}
- 2 **Preclassification by homomorphic equivalence**
- 3 Closed clones containing $\text{Aut}(\mathbb{P})$
- 4 Results

Automorphism groups

Theorem (Pach, Pinsker, Pongrácz, Szabó '14)

Let Γ be a reduct of \mathbb{P} . Then $\text{Aut}(\Gamma)$ is equal to one of the following:



\updownarrow : bijection with
 $x < y \leftrightarrow \updownarrow x > \updownarrow y$

\circ : “rotation” at a generic
 upwards-closed set

Endomorphism monoids

Proposition (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then:

- 1 $\text{End}(\Gamma)$ contains a constant,
- 2 $\text{End}(\Gamma)$ contains $g_{<}$ that maps P to a chain $\cong \mathbb{Q}$,
- 3 $\text{End}(\Gamma)$ contains g_{\perp} that maps P to a countable antichain,
- 4 or $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

Endomorphism monoids

Proposition (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then:

- 1 $\text{End}(\Gamma)$ contains a constant,
- 2 $\text{End}(\Gamma)$ contains $g_{<}$ that maps P to a chain $\cong \mathbb{Q}$,
- 3 $\text{End}(\Gamma)$ contains g_{\perp} that maps P to a countable antichain,
- 4 or $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

- 1 1-element structures induces trivial CSPs.

Endomorphism monoids

Proposition (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then:

- 1 $\text{End}(\Gamma)$ contains a constant,
- 2 $\text{End}(\Gamma)$ contains $g_{<}$ that maps P to a chain $\cong \mathbb{Q}$,
- 3 $\text{End}(\Gamma)$ contains g_{\perp} that maps P to a countable antichain,
- 4 or $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

- 1 1-element structures induces trivial CSPs.
- 2 CSPs on reducts of $(\mathbb{Q}, <)$: P or NP-c (Bodirsky, Kára '10)

Endomorphism monoids

Proposition (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then:

- 1 $\text{End}(\Gamma)$ contains a constant,
- 2 $\text{End}(\Gamma)$ contains $g_{<}$ that maps P to a chain $\cong \mathbb{Q}$,
- 3 $\text{End}(\Gamma)$ contains g_{\perp} that maps P to a countable antichain,
- 4 or $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

- 1 1-element structures induces trivial CSPs.
- 2 CSPs on reducts of $(\mathbb{Q}, <)$: P or NP-c (Bodirsky, Kára '10)
- 3 CSPs on reducts of (\mathbb{N}, \neq) : P or NP-c (Bodirsky, Kára '08)

Endomorphism monoids

Proposition (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then:

- 1 $\text{End}(\Gamma)$ contains a constant,
- 2 $\text{End}(\Gamma)$ contains $g_{<}$ that maps P to a chain $\cong \mathbb{Q}$,
- 3 $\text{End}(\Gamma)$ contains g_{\perp} that maps P to a countable antichain,
- 4 or $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

- 1 1-element structures induces trivial CSPs.
- 2 CSPs on reducts of $(\mathbb{Q}, <)$: P or NP-c (Bodirsky, Kára '10)
- 3 CSPs on reducts of (\mathbb{N}, \neq) : P or NP-c (Bodirsky, Kára '08)

→ We only need to study $\text{CSP}(\Gamma)$, where $\overline{\text{Aut}(\Gamma)} = \text{End}(\Gamma)$.

Outline

- 1 CSPs over the random partial order \mathbb{P}
- 2 Preclassification by homomorphic equivalence
- 3 **Closed clones containing $\text{Aut}(\mathbb{P})$**
- 4 Results

Polymorphisms of higher arity

Let $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ be an embedding:

$$e_{\leq}(x, y) \leq e_{\leq}(x', y') \Leftrightarrow x \leq x' \wedge y \leq y'$$

Polymorphisms of higher arity

Let $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ be an embedding:

$$e_{\leq}(x, y) \leq e_{\leq}(x', y') \Leftrightarrow x \leq x' \wedge y \leq y'$$

By Bodirsky, Chen, Kára, von Oertzen '09

If $e_{\leq} \in \text{Pol}(\Gamma)$ every relation in Γ has a \leq -Horn definition:

$$(x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow (x_{i_{n+1}} \leq x_{j_{n+1}}) \text{ and} \\ (x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow F.$$

In this case $\text{CSP}(\Gamma)$ is in P.

Polymorphisms of higher arity

Let $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ be an embedding:

$$e_{\leq}(x, y) \leq e_{\leq}(x', y') \Leftrightarrow x \leq x' \wedge y \leq y'$$

By Bodirsky, Chen, Kára, von Oertzen '09

If $e_{\leq} \in \text{Pol}(\Gamma)$ every relation in Γ has a \leq -Horn definition:

$$(x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow (x_{i_{n+1}} \leq x_{j_{n+1}}) \text{ and} \\ (x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow F.$$

In this case $\text{CSP}(\Gamma)$ is in P.

Similarly: $e_{<} : (P; <)^2 \rightarrow (P; <)$

Polymorphisms of higher arity

Let $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ be an embedding:

$$e_{\leq}(x, y) \leq e_{\leq}(x', y') \Leftrightarrow x \leq x' \wedge y \leq y'$$

By Bodirsky, Chen, Kára, von Oertzen '09

If $e_{\leq} \in \text{Pol}(\Gamma)$ every relation in Γ has a \leq -Horn definition:

$$(x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow (x_{i_{n+1}} \leq x_{j_{n+1}}) \text{ and} \\ (x_{i_1} \leq x_{j_1}) \wedge (x_{i_2} \leq x_{j_2}) \cdots \wedge (x_{i_n} \leq x_{j_n}) \rightarrow F.$$

In this case $\text{CSP}(\Gamma)$ is in P.

Similarly: $e_{<} : (P; <)^2 \rightarrow (P; <)$

Problem: How does $\text{Pol}(\Gamma)$ look like? When is $e_{\leq} \in \text{Pol}(\Gamma)$?

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$
- $\updownarrow : \mathbb{P} \rightarrow \mathbb{P}$ with $x < y \leftrightarrow \updownarrow x > \updownarrow y$

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$
- $\updownarrow : \mathbb{P} \rightarrow \mathbb{P}$ with $x < y \leftrightarrow \updownarrow x > \updownarrow y$
- $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ is canonical

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$
- $\updownarrow : \mathbb{P} \rightarrow \mathbb{P}$ with $x < y \leftrightarrow \updownarrow x > \updownarrow y$
- $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ is canonical

$(P; \leq, \prec)$ is a *Ramsey structure*.

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$
- $\updownarrow : \mathbb{P} \rightarrow \mathbb{P}$ with $x < y \leftrightarrow \updownarrow x > \updownarrow y$
- $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ is canonical

$(P; \leq, \prec)$ is a *Ramsey structure*.

Method by Bodirsky & Pinsker (very roughly):

If R not pp-definable in Γ there is a $f \in \text{Pol}(\Gamma)$ violating R .
Ramsey properties of \mathbb{P} imply that there is a *canonical* function $g \in \text{Pol}(\Gamma)$ violating R .

Canonical functions

A function $f : \Delta \rightarrow \Gamma$ is called **canonical**, if it maps tuples of the same *orbit* of $\text{Aut}(\Delta) \curvearrowright \Delta^k$ to tuples of the same orbit of $\text{Aut}(\Gamma) \curvearrowright \Gamma^k$.

- All $\alpha \in \text{Aut}(\mathbb{P})$ are canonical from $\mathbb{P} \rightarrow \mathbb{P}$
- $\uparrow\downarrow : \mathbb{P} \rightarrow \mathbb{P}$ with $x < y \leftrightarrow \uparrow x > \uparrow y$
- $e_{\leq} : (P; \leq)^2 \rightarrow (P; \leq)$ is canonical

$(P; \leq, \prec)$ is a *Ramsey structure*.

Method by Bodirsky & Pinsker (very roughly):

If R not pp-definable in Γ there is a $f \in \text{Pol}(\Gamma)$ violating R .
Ramsey properties of \mathbb{P} imply that there is a *canonical* function $g \in \text{Pol}(\Gamma)$ violating R .

→ Look for relations that imply NP-hardness.

→ Use canonical functions for P .

Outline

- 1 CSPs over the random partial order \mathbb{P}
- 2 Preclassification by homomorphic equivalence
- 3 Clones containing $\text{Aut}(\mathbb{P})$
- 4 **Results**

Complexity dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then one of the following cases holds:

Complexity dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then one of the following cases holds:

- $\text{CSP}(\Gamma) = \text{CSP}(\Delta)$, where Δ is a reduct of \mathbb{Q} (P or NP-c)

Complexity dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then one of the following cases holds:

- $\text{CSP}(\Gamma) = \text{CSP}(\Delta)$, where Δ is a reduct of \mathbb{Q} (P or NP-c)
- Low, Betw, Cycl or Sep is pp-definable in Γ and $\text{CSP}(\Gamma)$ is NP-complete.

Complexity dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then one of the following cases holds:

- $\text{CSP}(\Gamma) = \text{CSP}(\Delta)$, where Δ is a reduct of \mathbb{Q} (P or NP-c)
- Low, Betw, Cycl or Sep is pp-definable in Γ and $\text{CSP}(\Gamma)$ is NP-complete.
- $\text{Pol}(\Gamma)$ contains $e_{<}$ or e_{\leq} and $\text{CSP}(\Gamma)$ is in P.

Complexity dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then one of the following cases holds:

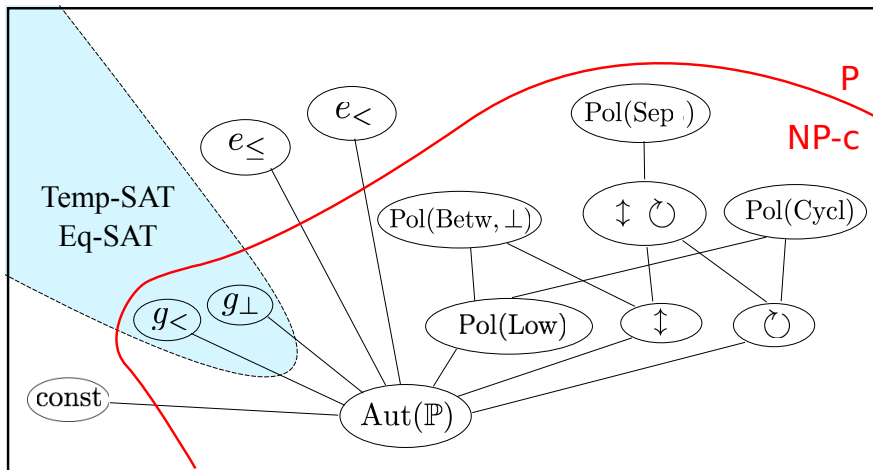
- $\text{CSP}(\Gamma) = \text{CSP}(\Delta)$, where Δ is a reduct of \mathbb{Q} (P or NP-c)
- Low, Betw, Cycl or Sep is pp-definable in Γ and $\text{CSP}(\Gamma)$ is NP-complete.
- $\text{Pol}(\Gamma)$ contains $e_{<}$ or e_{\leq} and $\text{CSP}(\Gamma)$ is in P.

Consequence:

Poset-SAT(Φ) is in P or NP-complete.

Given Φ , it is decidable to tell if Poset-SAT(Φ) is in P.

Lattice of polymorphism clones



Algebraic dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then either

Algebraic dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then either

- one of the equations

$$g_1(f(x, y)) = g_2(f(y, x))$$

$$g_1(f(x, x, y)) = g_2(f(x, y, x)) = g_3(f(y, x, x))$$

holds for $f \in \text{Pol}(\Gamma)$, $g_i \in \text{End}(\Gamma)$ and $\text{CSP}(\Gamma)$ is in P,

Algebraic dichotomy

Theorem (MK, Trung Van Pham '16)

Let Γ be reduct of \mathbb{P} . Then either

- one of the equations

$$g_1(f(x, y)) = g_2(f(y, x))$$

$$g_1(f(x, x, y)) = g_2(f(x, y, x)) = g_3(f(y, x, x))$$

holds for $f \in \text{Pol}(\Gamma)$, $g_i \in \text{End}(\Gamma)$ and $\text{CSP}(\Gamma)$ is in P,

- or Γ is homomorphic equivalent to a Δ , such that:

$$\xi : \text{Pol}(\Delta, c_1, \dots, c_n) \rightarrow \mathbf{1}$$

and $\text{CSP}(\Gamma)$ is NP-complete.

Thank you!